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# PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

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INVENTOR(S)					
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Additional inventors are being named on the <u>NONE</u> separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
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Direct all correspondence to: CORRESPONDENCE ADDRESS					
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Respectfully submitted,

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SIGNATURE

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# ABRADABLE DRY POWDER COATINGS ON PISTONS AND PISTON RINGS

5

## CROSS REFERENCES TO RELATED APPLICATIONS

This patent application claims the benefit of prior  
10 filed co-pending U.S. Provisional Patent Application No.  
60/228,653 filed on August 29, 2000, which Provisional Patent  
Application is incorporated by reference herein.

## BACKGROUND OF THE INVENTION

15

### I. Field of the Invention

The present invention relates generally to dry powder  
compositions for forming abradable coatings, methods for making  
the compositions, and methods for coating articles with the  
20 compositions, and the coated articles themselves, such as pump  
components, *pistons*, and *piston rings*.

### II. Description of the Related Art

In fluid transferring devices, e.g., pumps,  
25 compressors, and engines the operation relies on the relative  
movement of internal components to pump liquids, compress gases.  
For the highest operating efficiency of such devices, it is  
necessary to minimize leakage between the movable components  
without having contact between the components. In some devices  
30 it is also advantageous to minimize friction between the movable  
components and/or between the components and the fluid being  
transferred. Abradable coatings on the working surfaces of the  
pumps or pistons grind themselves into nearly perfect fitting

surfaces when the pump is first operated. These conventional  
abradable coatings are made of liquid materials which are  
sprayed or painted onto the working surfaces. Alternatively,  
the working surfaces can be dipped into the liquid abradable  
5 coating composition. The liquid coating may even be  
electrostatically painted on.

However, these prior art liquid compositions have  
inherent problems, including cost for excessive material,  
10 environmental concerns arising from the use of the solvents, and  
the inability to recycle and re-use any overspray which is  
surely generated during any spraying or electrostatic  
applications. Dipping and roller painting may not generate much  
overspray, but they certainly generate a lot of toxic solvent  
15 fumes.

One example of the prior art is U.S. Patent No.  
5,554,020 to Rao et al. which discloses providing a liquid  
abradable coating on both contacting surfaces in a gas  
20 compressor. Before start up, the coated contacting surfaces  
have an interfering fit; but upon start up, the coatings on the  
two contacting surfaces abrade and grind against each other to a  
substantially zero clearance.

25 Rao et al. use either water-based or solvent-based  
resin formulations to coat the desired components and  
subsequently flash off the solvent or water and cure the resin.  
Rao et al.'s formulations include the water or evaporative  
solvent along with certain solid lubricants, a thermoset resin  
30 selected from epoxy, polyamide, or polyaryl sulphone, and a  
polymerizing catalyst. Rao et al. describe that the coating may  
be applied by (i) electrostatic or air atomized spray/or dip  
process or (ii) a smooth sponge roller. In the case of a spray  
process, a multi-layer coating is taught to be desirable.

Although Rao et al. present the above-described methods for achieving close clearances on pump components, their methods are not without problems and undesirably high manufacturing costs. For instance, when solvent-based formulations are used, removal, containment, and special handling of the solvent is required. Removal of the solvent (or volatile organic compound) from the coatings - which is completed before the curing process - requires additional heat, time, and handling equipment. The volatile organic compound requires additional care in handling for the safety and health of the operators and the environment. In addition, there is typically significant shutdown time necessary for cleaning and maintenance of solvent-processing equipment. Aside from the problems with processing with solvents, solvent-based materials also create unique problems and additional cost when transporting the materials.

Although the water-based systems are environmentally more favorable, there remains the additional cost and time of evaporating and handling the water from the coatings and the shutdown time for cleaning and maintenance of the equipment. Furthermore, not all resins can be formulated into water-based systems, so the types of resins available to use with water-based systems are limited.

Another disadvantage of spray coating liquid-based formulations is that it is not practical to recycle any overspray. Reclaimed overspray would require an inordinate amount of re-formulation to adjust its viscosity in order to achieve consistent coating results.

Therefore, even in light of the Rao et al. patent, there is a need for improved abradable coatings, methods of making the coatings, methods of coating articles with abradable

coatings, and the coated articles themselves. It would be advantageous if the improved coatings were easy to apply, cost effective, energy-wise, used relatively inexpensive and simple equipment, and were environmentally favorable. It would also be  
5 advantageous if the composition for forming the abradable coatings was recyclable, to reduce loss during the coating process. Yet another advantage would be realized if any coating thickness can be achieved in one layer, thus, not requiring a multi-layer coating. Still a further advantage would be  
10 realized if the lubricity of the coating could be controlled to best meet different applications.

Still further advantages would be realized if an improved abradable coating were made available, e.g., one which  
15 is more easily abraded and/or one in which less material is required, thereby saving cost.

### **SUMMARY OF THE INVENTION**

20 In accordance with the present invention, an abradable dry powder coating composition (22) for coating onto a surface (12) for subsequent curing to form into an abradable dry powder coating, includes a powder (16) formed of uncured thermoset resin with at least 15 volume percent filler wherein  
25 the filler does not substantially melt at or below the cure temperature of the resin. Therefore, once the resin has been cured and melted downwardly onto the surface, the filler particles project upwardly through the melted resin and act as little tiny pieces of sandpaper, which forms the abradable  
30 portion of the coating. When both contacting surfaces have the abradable coating thereon, and the pump is started, these abradable pieces scrape against one another and form a smooth surface which does not allow leakage therepast.

When one of two mating surfaces has the abradable coating thereon, such as a piston in an internal combustion engine, the coating on the piston will wear to fit the bore perfectly during initial cycling up and down. The presence of the coating provides benefits in engine power through efficiency and piston/bore durability through reduced scuffing potential, among other benefits.

An abradable powder coating could also be applied to the ring lands and/or the piston rings to help seal the ring to the piston. Coating the ring outer diameter could also help with engine or compressor breakin and performance.

Another aspect of the present invention includes the method for making the abradable dry powder coating composition (22) which includes melt-mixing the thermoset resin with at least 15 volume percent of filler, cooling the resulting mass composite, and then breaking the cooled mass composite into tiny powder particles (16). This method produces a homogeneous powder particle composition suitable for use with the present invention.

Yet another aspect of the present invention is the practice of a method for coating an article with an abradable coating made in accordance with the present invention which includes applying the dry composite powder coating composition (22) having the filler therein, onto a substrate and curing the dry powder composition, preferably by electrostatic coating. Although any suitable method for applying dry powder coating composition to a substrate may be utilized, the least waste is experienced when utilizing the electrostatic dry powder coating method.

The present invention includes a process and tooling for washing, masking, and coating cylindrical substrates such as pistons, which virtually eliminates waste during application and

allows cost effective automation of high quality electrostatic coating processes.

Finally, the last aspect of the present invention includes articles coated with the abrasible dry powder coating composition of the present invention. The most applicable articles are those with working contacting surfaces, such as the rotors in pumps and turbochargers, and pistons in engines and compressors.

10

Other advantages of the present invention will be readily appreciated as the same becomes better understood after reading the subsequent description taken in conjunction with the appendant drawings.

15



## **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a cross-sectional view of a component coated according to the present invention.

5

FIG. 2 is a detailed microscopic view of a fresh coating of the abradable coating, showing the peaks and valleys; and

10

FIG. 3 is a detailed microscopic view of the same abradable coating after it has been run against another surface with the abradable coating, showing the reduced coating height.

15

FIG. 4 is a sketch of a piston with a coating to improve the fit of the piston in the bore and prevent scuffing at the piston-bore interface.

20

FIG. 5 is a sketch of a piston with abradable powder coating on the ring lands and on the ring for better sealing between the rings and piston.

25

FIG. 6 is a sketch of a process flow of pistons and tooling for low cost application of quality powder coatings with targeted thickness, minimal powder waste, and minimal cleaning of tooling.

## **DESCRIPTION OF THE PREFERRED EMBODIMENT(S)**

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The present invention generally entails composite dry powder compositions for forming abradable dry powder coatings, methods for making the composite powder compositions and coated articles, and articles coated with the composite powder compositions. The abradable coatings formed from the composite powder compositions are especially useful for coating a component or components in a device in which the components are

movable relative to each other and a minimum clearance between the components is desired, such as a piston or piston rings in an internal combustion engine or a compressor. The composite powder composition may also be formulated to provide self-lubrication. To achieve the minimum clearance and optionally, lubricity, the component(s) is coated with the composite powder composition, which is subsequently cured. The coating is applied to a thickness such that the movable components contact each other during initial operation of the device. Then, during initial use of the device, the coating(s) is worn down until an essentially zero clearance during operation is achieved. After initial break-in the coating remains in place to reduce clearances, prevent incidental contact between the surfaces, and maintain lubricity between the surfaces.

### I. The Coating Composition

In its simplest form, the composite powder composition is a dry powder which contains uncured thermoset resin and filler. The term "dry" is used to mean without evaporative carriers, such as volatile organic compounds (VOCs) or water as carriers for the powders. Typical prior art volatile organic compounds that were used as carriers are those that have a boiling point of less than 100°C. Examples of such VOCs are xylene, methyl ethyl ketone, and acetone.

The uncured thermoset resin may be a resin system which includes the resin polymer and the hardener (if needed). The hardener or other curing initiator induces crosslinking of the resin polymer within a specific temperature range, which provides strength and chemical and thermal resistance to the polymer matrix in resultant coating. The type of thermoset resin employed is not limited. For example, the thermoset resin type may be acrylic, polyester, epoxy, allyl, melamine formaldehyde, phenolic, polybutadiene, polycarbonate,

polydicyclopentadiene, polyamide, polyurethane, silicone, and combinations of these resin types. The thermoset resin has a curing temperature which is recommended by the manufacturer. Typical desirable powder coating resins begin to soften and flow  
5 around 200°F - 500°F and require a cure temperature of about 250°F to 550°F for up to about 30 minutes for completion of the crosslinking reaction.

An exception to heat-curing resins, UV-curable resins  
10 may be used, as these resins cure upon exposure to UV light. In cases when heat is not desirable or required to cure the resin, it is still preferred to heat the coating to a temperature in which the surrounding resin flows sufficiently to wet the surface of the substrate to improve adhesion. The fillers used

15

with these types of resins are preferably substantially non-flowing at the melt temperature of the resin.

The filler may be formed of a material which does not melt substantially at the cure temperature of the resin and is employed in an amount of at least 15 volume percent based on the volume of the resultant composite powder composition as cured on the part. Once the composite powder composition is applied to the desired surface, the amount of filler, along with the fact that the filler does not substantially flow at the cure temperature, allows the composite powder composition to maintain much of its shape and position on the coated surface even during the curing process. The term "does not substantially flow at the cure temperature of the resin" or "which melts above the cure temperature of the resin" is used to mean that the filler preferably does not melt or flow at the cure temperature of the resin such that the filler does not contribute significantly to any change in the shape or position of the coating during the curing process. The level and type of filler also effectively raises the viscosity of the composite particles at the cure temperature so that wetting and sintering of the particles is severely limited as compared to typical decorative and other protective powder coatings. Surface roughness and porosity are present in the cured coating structure of the present invention, both of which enhance abrasability.

As mentioned, the composite powder composition performs best when the filler is used in an amount of at least 15 volume percent based on the volume of the composite powder composition. The preferred composite powder compositions employ at least about 25 volume percent based on the volume of the resultant composite powder composition.

Preferred composite powder compositions employ at most about 45 volume percent filler, and more preferred compositions employ about 35 volume percent filler, based on the volume of the resultant composite powder composition.

5

The filler may be selected from any of a variety of materials, including, but not limited to, metals, minerals, mineral substances, ceramics, polymers (including fluoropolymers), silicon dioxide, titanium dioxide, gypsum, silicate minerals (such as talc and aluminosilicates), graphite, diamond, molybdenum disulfide, fluorides such as calcium fluoride, magnesium fluoride and barium fluoride, clays, dirt, wood, ash, pigments, magnetic materials, phosphorescent materials, cured resin systems, cured composite powder compositions made according to the present invention, and mixtures thereof. Examples of clays which are suitable for the present invention include kaolin, mullite, montmorillonite, and bentonite. Examples of ceramics which are suitable for the present invention include boron nitride, boron carbide, mullite, tungsten carbide, silicon nitride and titanium carbide. Many fillers are available from Atlantic Equipment Engineers, a Division of Micron Metals, Inc., Bergenfield, New Jersey. Other suitable minerals may be selected from those having a MOH's hardness of between about 0 and 10, which includes from carnotite (with a hardness of 0) up to diamond (with a hardness of 10). Such an entire list of minerals are those available from Atlantic Equipment Engineers, described above, or any other supplier of minerals and mineral substances.

For some applications, a blend of fillers, such as a blend of graphite and clay, is preferred. Especially suitable filler compositions include from about 30 to about 40 volume percent clay and from about 60 to about 70 volume percent

graphite based on the resulting filler content. Other combinations are also possible.

5        Fillers such as graphite, fluorides, talc, boron nitride, and molybdenum disulfide possess lubricating properties and, therefore, when used, provide lubrication properties to the coatings.

10        In addition, using cured resin systems (which may include cured composite powder compositions of the present invention) as the filler material offers some unique advantages. One advantage of using cured resin systems is the opportunity to recycle "lost" material (i.e., material that is scrapped from previous coating operations). Another advantage  
15 of using cured resin systems as the filler material is that abrasability of the coating can be adjusted without significantly altering the performance of the powder during electrostatic application.

20        The composite powder compositions of the present invention may also contain polymers or polymer waxes. The addition of polymer waxes renders the final product softer, more easily abrasable, and, therefore, less filler may be needed. Suitable polymers may include any uncurable thermoset resin or  
25 thermoplastic such as polyethylene, polypropylene, fluoropolymers, co-polymers and any combination thereof. Any monomer that will not react with the hardener may be suitable to add softness, yielding a more abrasable coating, so long as it will not crosslink and become hard. Polymer waxes may also  
30 improve the performance of the composite powder during application. Various polymer waxes may be used, e.g., fluoropolymer wax, polyethylene wax and polypropylene wax. Polymer waxes are typically added at levels of from about 1 to

about 20 volume percent based on the volume of the composite powder composition.

## II. Method of Making the Powder Composition

5           Another aspect of the present invention includes a method of making the composite powder composition, which includes the steps of (a) mixing filler and resin particles in the amounts according to the present invention to form a dry mixture; (b) pulverizing the mixture to obtain a more uniform  
10 particle size and dispersion in the mixture; (c) consolidating the mixture particles into small units; (d) admixing the hardener (if needed) with the small units of resin and filler; (e) melt-mixing the mixture of the resin, hardener, and filler to form a mass composite; (f) cooling the mass composite; and  
15 (g) breaking the cooled mass composite into powder particles, thereby forming the composite powder composition. Fluidizing agents, such as fumed amorphous silica or aluminum oxide, may be added to the mixture to improve fluidization during application, reduce clogging in electrostatic spray equipment, and reduce  
20 clumping during storage.

          Additional solid powders can be blended in with the composite powder before or during application. However, this procedure is undesirable for powders which are applied using  
25 overspray recycling systems, because segregation can occur during the spraying and reclaim steps, thereby causing the composition to change as the recycling continues. Recycling blends usually require monitoring and maintenance of the proper mixture to maintain a consistent coating.

30

          The mixing of the filler and resin particles may be performed using any suitable mixing equipment, such as tumbler mixers (e.g., cement mixers), medium or high intensity mixers, ball mills, attrition mills, and the like.

The pulverizing step may be performed either before, after, or during the above-described mixing step and is to form more similar particle size distributions of the various components, to separate agglomerated ingredients, and provide a more uniform dispersion of all the ingredients. The pulverizing step may be performed with any suitable grinding equipment, such as a hammer mill or ball mill or a high intensity mixer. Good results have been achieved when the resin and filler are ground to less than 2000 um and 200 um, respectively.

10

The third step, the consolidating step, is performed to maintain the distribution of resin and filler and to improve throughput through a melt mixer (typically an extruder). The higher throughput is achieved by avoiding fluidization of the mixture in the extruder. Eliminating fluidization results in better mixing and, of course, faster production rates. The consolidating step forms small units which are desirably similar in size to the hardener particles, which are preferably admixed with the resin and filler after the consolidating step. The similarly-sized hardener and units of resin and filler are designed to prevent segregation of any one material, especially in the feed hopper of the melt mixer, and maintain good distribution of all ingredients.

15  
20

Roll compacting or pressing is a suitable technique for performing the consolidation step. Good results are achieved when some compacted units (from a previous run) and some powder mixture (e.g., about a 50:50 volume-to-volume mixture powder to compacted units) are fed into a roll compactor.

25

30

The consolidation step may also be achieved by heating the pulverized mixture to the softening temperature of the resin and/or polymer and/or polymer wax particles, allowing sufficient time for wetting and sintering to occur, thus,



forming a, partially or fully-sintered bulk mass. Thereafter, the bulk mass would be broken into small units which are suitable for mixing with the hardener and feeding into the melt mixer.

5

The consolidation step may also be achieved by first making a paste-like mixture of the resin and filler in water or other liquid, forming small units of the paste-like mixture, and drying the water or liquid from the small units.

10

The hardener (if needed) may be added to the resin and filler before consolidating, but as mentioned above, it is preferred to add the hardener after consolidation. Sometimes less hardener is required for the same resin hardness when the hardener is added after the consolidating step. It is suspected that when the hardener is added before the consolidation step, the hardener partially reacts with the resin due to the frictional heat and pressure of the consolidation step.

20

After forming units from the consolidation step, if it is necessary, the small units may be broken to more closely meet the particle size of the hardener. Once again, any suitable grinding, comminuting or granulating equipment may be used.

25

The resin/filler/hardener mixture is then fed through a melt-mixer (e.g., an extruder) to more intimately mix the components and to form a mass composite.

30

Alternative to the consolidation step, or in addition thereto, the melt mixer or extruder may be operated under vacuum to limit the fluidizing and segregation of the filler particles. Yet another alternative way to limit the fluidizing and segregation of the filler in the extruder is to wet the feed

with water, then extrude the ingredients, wet, damp, or after drying of the feed. Still another method is to extrude the resin with a smaller amount of filler, granulate the extruded material, and repeat extrusion of the granulated material, with each extrusion adding more filler. With this method, it may be preferable to add the hardener before the last extrusion cycle so that crosslinking is minimized during extrusion.

The physical properties of the mass composite exiting the melt-mixer depends on the composition. However, it is often soft, deformable, and tacky. Upon exiting the melt-mixer, the mass composite is cooled to avoid reaction between the resin and the hardener. The cooling may be accomplished by chilled rolls, forced air cooling, or submersion in water, among other suitable techniques.

The cooled mass composite is then comminuted to form the composite powder composition. The comminuting may be performed by an air classifier mill or other type of mill, and fumed silica may be added before, during or after final comminution to improve the performance of the powder during certain application methods. Typically, the comminuting is performed until the particle size is less than 100  $\mu\text{m}$ , but the particle size may be larger, if desired.

The above-described method of making the composite powder composition of the present invention may be simpler than that which is discussed above. For example, the mixing, pulverizing, and consolidating may not be required if the resin, filler, and hardener are supplied having similar particle sizes, thereby limiting segregation. If this is the case or if otherwise desired, the method of making the composite powder composition of the present invention may include (a) melt-mixing an evaporative-carrier-free mixture of the resin and at least 15

volume percent of the filler, based on volume of the composite powder composition, to form a mass composite, (b) cooling the mass composite; and (c) breaking the cooled mass composite into powder particles, thereby forming the composite powder composition.

Although the above-described method of making the composite powder composition is the preferred method, the composite powder composition may also be made by any other suitable method, including wet or dry milling the raw materials together. In this method, attrition mills or ball mills, etc., may be used. In the case of wet milling, the liquid would be removed from the composite powder composition prior to its application to a surface. However, quality of the product and throughput are typically improved with the melt-mixing procedure described above.

Once the composite powder composition has been made, it may be applied to the desired surface. The substrate may be formed of any material so long as that material withstands the cure temperature of the resin. Typically, the substrates are formed of iron, steel, aluminum alloys, magnesium alloys, titanium alloys, copper alloys, ceramic, polymeric, or composite materials. When electrostatic spraying is used to coat the substrate and the substrate is formed of a non-conductive material, the substrate may be coated first with a conductive primer or preheated before application.

The surface should be clean, and phosphate or chrome conversion pretreatments may be used. Other surface preparations and sealers may also be applied to the surface prior to coating with the composite powder composition. Grit blasting, anodizing and other preparation steps may also be desirable prior to coating the substrates.

### III. Methods of Coating

Regarding coating methods, different modes of application may be used, e.g., (a) electrostatic spraying, (b) dipping hot surfaces into a fluidized bed, (c) dispersing the powder into an evaporative carrier to form a slurry and applying the slurry by spraying, dipping, rolling, screen printing, or film transferring, (d) pressing a tape or monolithic body of the powder onto a surface, (e) flame spraying, and (f) vacuum deposition. Many of these methods may be used to apply the coating on cold or hot surfaces. In regards to application method (c) described above, U.S. Patent No. 5,965,213 dated October 12, 1999, assigned to BASF COATINGS AG, is incorporated herein by reference to teach some techniques of coating with a slurry.

When coating with electrostatic powder coating equipment, the resistivity of the composite powder material and the particle size distribution of the composite powder material have a strong influence on the thickness distribution of coating on different areas of the substrate. Each filler and resin component individually contributes to the resistivity of the composite particles, as does the particle size distribution. Proper balance of high and low resistivity fillers, resins, polymer waxes, polymers and particle size distribution will provide the best thickness distribution on a particular part, such as a pump rotor. Application equipment parameters such as gun type, gun tip type, voltage, booth air flow, powder feed rate, and atomizing air also affect the thickness distribution of coating on a substrate. The deposition rate is also affected by the coating material and process parameters mentioned above.

The coating may be applied to basically any thickness. Typical coating thicknesses range from 20 to 500  $\mu\text{m}$  after one application. Although multiple coatings are usually

not necessary, multiple coatings may be applied, if desired. When applying multiple coatings, each layer may or may not be cured prior to applying the following layer, depending on the desired result. In addition, it may be desirable to have  
5 multiple thin layers of coatings which could yield various gradient coatings, either thickness gradient or compositional gradient. A coating having a thickness gradient may be thin in one area on the surface and gradually thicken toward another area on the surface. A coating having a compositional gradient  
10 would change in composition from the interior of the coating to the exterior of the coating. Alternatively, numerous layers may exhibit a gradient coating which might include a softer layer under a harder layer such that the harder layer could make a nice "first cut" when the machine having the abradable coating  
15 incorporated therein first starts up. For example, the uppermost layer(s) may include WC or TiC ceramic filler, in order to be a powerful grinder. Then, the softer filler in an inner layer could include graphite filler to act as a fine polisher after the "rough" polishing action of the ceramic-  
20 filled upper layer.

When the coating has been applied to hot surfaces, additional heat treatment may not be necessary. When the method applies the coating onto cold surfaces, it is necessary to  
25 thermally treat the coating to cure the resin and provide wetting and bonding to the surface. After the coating is heated enough to wet the substrate, additional heat or UV radiation is required to cure the resin for strength and chemical and thermal stability.

30

Typical heating methods include convective heating, radiant heating, infrared heating, and inductive heating. When the resin employed is UV-curable, the coating may be heated just

enough to melt the resin and, thereafter, is exposed to UV light to initiate crosslinking of the resin.

5 The substrate and coating must reach the cure temperature of the resin for the minimum period of time recommended by the manufacturer in order to achieve good adhesion and cure. However, the thermal history (temperature vs. time) may be adjusted to achieve desired product properties.

10 The cured resin/filler matrix of the resultant coating is formed of cured resin and at least 15 volume percent filler based on the volume of the resin/filler matrix, wherein the filler is formed of a material which melts above the cure temperature of the resin. Typically, when dry composite powder  
15 composition is applied and cured, the coating exhibits more porosity and roughness than coatings applied from an evaporative carrier and/or having less filler. Since the filler does not flow at the cure temperature of the resin, much of the coating maintains the shape as it was originally coated. The filler  
20 limits the wetting, sintering, and spreading of the powder particles during curing because the filler increases the viscosity of the coating at the cure temperature. In addition, the level of the filler renders the coating more easily abraded (i.e. weaker).

25

A simplified depiction of a typical coated article of the present invention is shown in Figure 1 and generally denoted by the numeral 10. The figure shows a cross-sectional view of a portion of a generalized plate substrate 12 coated with a cured  
30 composite powder composition 20 of the present invention with filler 22 and resin 24. Coating 20 typically has the appearance of mountain tops, spreading at the surface of the substrate, yet maintaining some of the sprayed-on appearance due to the sintering of the coated material without much flow.

Looking next to FIG. 2, there is shown a detail of one of the peaks 26 as illustrated in FIG. 1, where the peaked structure coating is generally denoted by numeral 30, and being made of individual powder granules held together by the resin component at the points where they touch. Each powder particle is a homogeneous composition of the thermoset resin and filler. Filler 40 is shown as lines throughout the bulk of the individual powder granules themselves. Resin 38 is shown having the filler therein, and the entire component rests on substrate 34.

Typical coatings of the present invention exhibit a mass of from about 40 to about 90 percent of the theoretical mass as calculated by geometric mass calculation. This decrease in mass is a further indication of the roughness and porosity of the coatings. More typically, the coatings of the present invention have from about 40 to 80 percent of the theoretical mass and, more typically, from about 50 to about 70 percent.

For example, for a non-porous material, the theoretical maximum density of a coating formed of a 30:70 graphite to resin ratio composition is about 1.46 g/cc. The mass of a 1 m<sup>2</sup> area of the hypothetical, non-porous cured coating having a 150 μm thickness is calculated by geometric mass calculation as follows:

$$(100 \text{ cm})^2 \times 0.015 \text{ cm} \times 1.46 \text{ g/cm}^3 = 219 \text{ g coating}$$

Typically, a coating formed of the same composition but applied from a liquid formulation, dried, and cured has a density which is about 95% percent of the theoretical density and the coating has a generally smooth surface. Note that the exact density and surface texture depends on the application and curing conditions. The mass of a 1 m<sup>2</sup> area of the prior art,

liquid-applied cured abrasible coating having a 150  $\mu\text{m}$  thickness is calculated by geometric mass calculation as follows:

$$(100 \text{ cm})^2 \times 0.015 \text{ cm} \times 0.95 \times 1.46 \text{ g/cm}^3 = 209 \text{ g coating}$$

It can be seen from the geometric mass calculations that the mass of the exemplary coating formed from the liquid-applied formulation is about 95% of the theoretical mass.

In contrast, for a composite powder composition of the present invention containing graphite and resin in a ratio of 30:70, the coating may, for example, reach 65% theoretical density and have a rough exterior surface. The mass of a 1  $\text{m}^2$  area of the coating having a thickness of 150  $\mu\text{m}$  is calculated by geometric mass calculation as follows:

$$(100 \text{ cm})^2 \times 0.015 \text{ cm} \times 0.65 \times 1.46 \text{ g/cm}^3 = 142 \text{ g coating}$$

It can be seen from the geometric mass calculations that the mass of the coating of the present invention having a density which is 65% of the theoretical mass. The mass calculations are performed using geometric mass and include the full thickness of the coating because the density of the coating may vary from the interior surface to the exterior surface.

Although from the above-cited examples, it would appear that the present invention utilizes approximately one-half of the weight for a similar coating on a one-square metered area, this belies the fact that in the application of a liquid applied abrasible coating as taught by the prior art, approximately 1,200 grams of coating mixture must be used to achieve the coating area and thickness in the example calculation above, and none of the overspray which occurs from the liquid applied abrasible coating may be reused, such as with the present invention. Therein lies one of the greatest advantages of the present invention, in which any additional



powder composition can be reused and recycled, without any deleterious effects to the coating itself. With the use of a spray, such as described above with regards to the 1,200 grams of coating material, there are two separate sources of losses of material and weight, those being 1) overspray and 2) liquid carrier evaporation. In the prior art method of liquid dipping the abradable coating onto the substrate, less material is lost because there is no overspray; however, the liquid carrier evaporation still accounts for a significant portion of the weight of the coating, as disclosed above wherein the present invention utilizes approximately 150 grams of coating, while the liquid dipped abradable coating utilizes approximately 500 grams of coating, which releases nearly 300 grams of evaporative carrier into the atmosphere. Needless to say, this causes environmental issues and hazards to the health of workers in the nearby vicinity if the liquid carrier contains volatile organic compounds.

The porosity and roughness of the coating presents another advantage to the user, in that less coating material is required to achieve the desired thickness of abradable coating than with prior coatings which are not as porous or rough.

The coatings of the present invention are typically softer than decorative and protective paint coatings. ASTM D-3363 is a test for measuring pencil hardness in which the following scale is used to report the result:

**Hardest 6H 5H 4H 3H 2H H F HB B 2B 3B 4B 5B 6B Softest**

The coatings of the present invention preferably have a pencil hardness of from about 3H to about 5B as measured using ASTM D-3363. The roughness of the coating being tested should be indicated as a deviation or special condition. More preferably, the coatings of the present invention have a pencil

hardness of from about F to about 4B and, most preferably, from about HB to about 3B.

After curing, the device, such as a pump or compressor, or engine may be assembled and operated using the coated components therein. Upon initial operation of the device, the portion of the abradable coating which has an interference fit between mating parts, is worn away to result in an essentially zero clearance during operation of the device. In addition to achieving such a desirable clearance, the coating may be of such a composition that it is self-lubricating, decreasing friction between components within the device or between the coated surface and the fluid passing therethrough.

The industrial applicability of the present invention includes the coating of components in pumps, compressors, engines, medical devices, and in any device which has moving parts. *Parts such as pistons and piston rings be typical substrates.* The present invention provides a composition for forming improved abradable coatings, methods of making the improved coatings, improved methods of coating components with abradable coatings, and the improved coated components themselves.

The following examples are illustrative only and should not be construed as limiting the invention which is properly delineated in the appended claims.

## EXAMPLES

30

### Example 1

5.055 kg of epoxy resin chips (1000  $\mu\text{m}$  thick, 1.5 cm diameter or less), 6.813 kg graphite (below 100  $\mu\text{m}$  size), 0.783

kg polyethylene wax (80  $\mu$ m size), and 0.783 kg polypropylene wax (80 $\mu$ m size) were mixed in a tumble cement mixer for 3 minutes to form a mixture. The mixture was then ground using a Hosokawa Micron Model 2 TH hammer mill equipped with a 0.020" herring bone screen, at 800 RPM and at a rate of 100 pounds/hour.

5 pounds of the ground mixture was heated to 150-175°F and fed through a Komarek Grieves roll compactor with a 3/8" x 5/8" x 1/4" pellet tooling repeatedly until pellets were formed.

10

The remainder of the ground mixture was then fed along with previously-formed pellets (about 50:50 volume:volume mixture) into the roll compactor. The ratio of pellets to ground mixture was maintained so that the pellets were strong enough to survive a fall onto concrete from 2 to 3 feet high.

15

The pellets were then granulated to less than 3 mm diameter using the hammer mill described above but with a 0.25" round screen, at 800 RPM, and 200 to 300 pounds per hour.

20

0.302 kg epoxy hardener was then mixed with the granulated mixture by tumbling the ingredients in a bag.

The granulated mixture (with hardener) was then extruded through a B&P 19mm extruder, Model No. MP-2019, with chill rolls at about a rate of 32-35 pounds per hour. The extruder screw operated at 215°F in Zone 1 and 225°F in Zone 2, at 500 RPM and a torque of 36-39. The chill roll speed dashpot was 8-9.5 and made a product of 1-3 mm thick. After complete cooling to room temperature, the product was manually broken into chips sized less than 3" x 3".

30

The product chips were then ground using an air classifier mill until the product was less than 100  $\mu\text{m}$  in size with a median size of approximately 32  $\mu\text{m}$ .

5 The ground product was then electrostatically sprayed onto a metal plate using corona-charging electrostatic spray guns until the thickness of the coating was about 200-400  $\mu\text{m}$ . The coating was then cured by placing the coated substrate in a convection oven set at 400°F for 10 minutes. This formulation  
10 resulted in a Pencil Hardness of HB when cured onto a thin plate substrate. The same formulation resulted in a Pencil Hardness of 2B/3B when cured onto a thick pump rotor. This illustrated the strong influence the cure conditions and thermal history have on the final coating structure and Pencil  
15 Hardness achieved with a given formulation.

### Examples 2 - 6

Composite powder compositions were prepared from the following formulations using the method described in Example 1.  
20 All of the formulations resulted in good product. All weights are given in kilograms.

EXAMPLE #	1	2	3	4	5	6
COMPONENT						
Epoxy resin	4044	4044	8500	2500	2160	500
Graphite	5448	5448	8200	3750	3225	500
Same powder in cured condition*		50% by Weight dry blend				
Kaolin			4700			
Polyethylene Wax	626	626	1379		432	
Polypropylene Wax	626	626	1379		228	
Fluoropolymer Wax				500		
Epoxy Hardener	150	150	500	125	97	25
Pencil Hardness on component	3H on component	2B -3B on component				
Pencil Hardness on plate			H	HB	2B	3H

\* Cured composition has the same composition, but cured and in powder form.

5           The examples herein provide a range of coating  
hardnesses as measured by Pencil Hardness. This is also known  
as the widely accepted ASTM D-3363 test. Example 5 provided a  
Pencil Hardness of 2B, which is relatively easy to scrape or  
mar with a fingernail. A hardness of 2B works well for  
10 applications where fast break-in is required. After break-in,  
the remaining coating has sufficient strength to provide long-  
term durability at substantially zero clearance. The results  
of testing Example 6 yielded a Pencil Hardness of 3H. 3H is  
relatively difficult to scrape or mar with a fingernail, but  
15 will abrade in mechanical applications such as on pump rotors.  
The break-in time would take longer with the harder Example 6,  
and long-term durability would be improved for some  
applications.

20           Example 1 exhibited a Pencil Hardness of a 3H in a  
component, which is a relatively hard coating. Example 2 is  
the same formula as Example 1, except that there is cured  
powder blended into the uncured powder before/during  
application to yield a softer coating, as the sintering is  
25 controlled because the cured particles cannot melt  
substantially during heat treatment on the substrate. Example  
3 gives a Pencil Hardness of H, and is a result of  
substituting Kaolin in to replace some of the graphite.  
Example 4, fluoropolymeric materials, are substituted in for  
30 the other polymer waxes, giving a similar hardness with  
slightly different formulas.

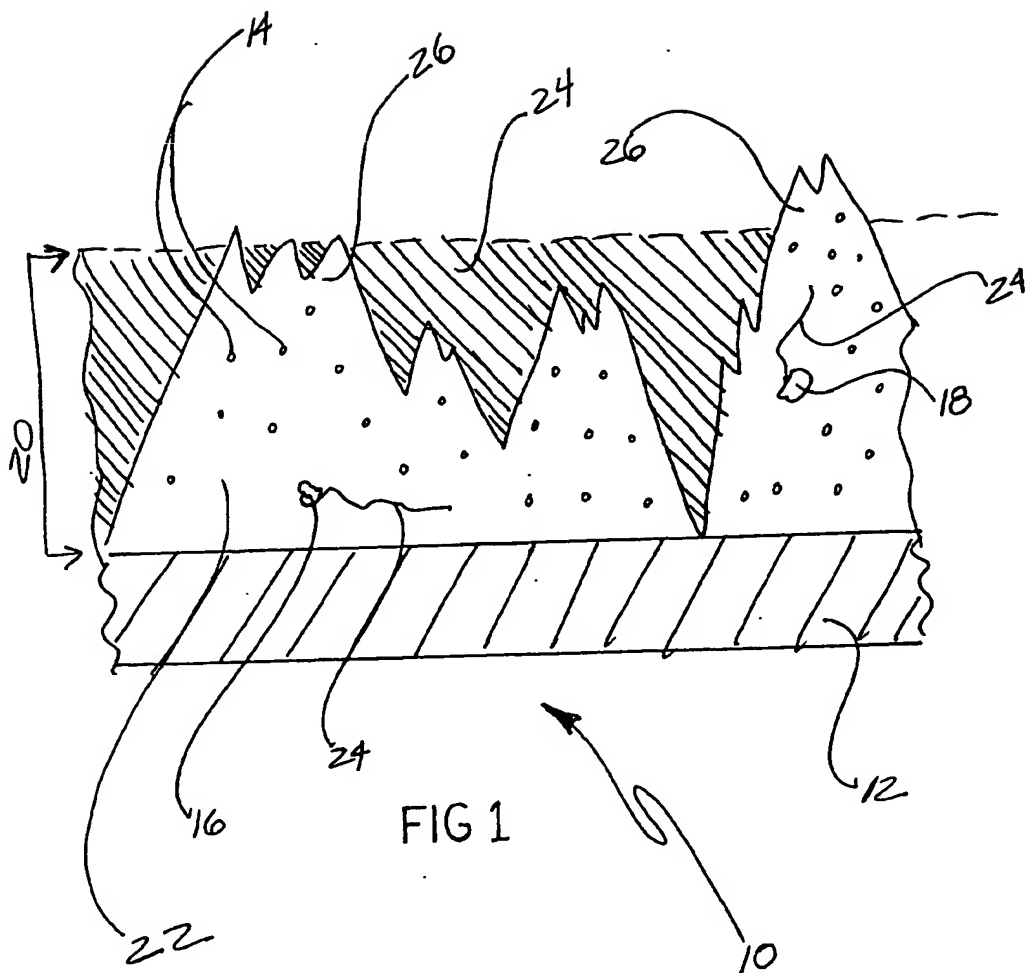
#### *Piston Application Tooling for Low Cost*

35   Figure 6 is a process flow chart for an efficient, low cost  
application method for pistons which is described as follows:

Stack the pistons inside a mesh tube for washing & drying. Slip a metal 'masking tube' with windows in desired areas for painting over the stack in the mesh tube. Automated coating of the stack of pistons through windows while rotating the stack. Remove the masking tube and blow off with air sending powder back to reclaim. Stack of pistons goes into oven with mesh tube. Automate stacking & unstacking. No paint is baked onto the tooling so there is no wasted paint or tooling clean-off required. Overall the abradable powder coating provides performance advantages at an extremely low cost.

### INDUSTRIAL APPLICABILITY

This invention finds applicability on any two contacting work surfaces, but is especially applicable to coating the contacting surfaces on pumps, rotors, pistons, piston rings, and gas compressor components. Turbochargers find particular utility.



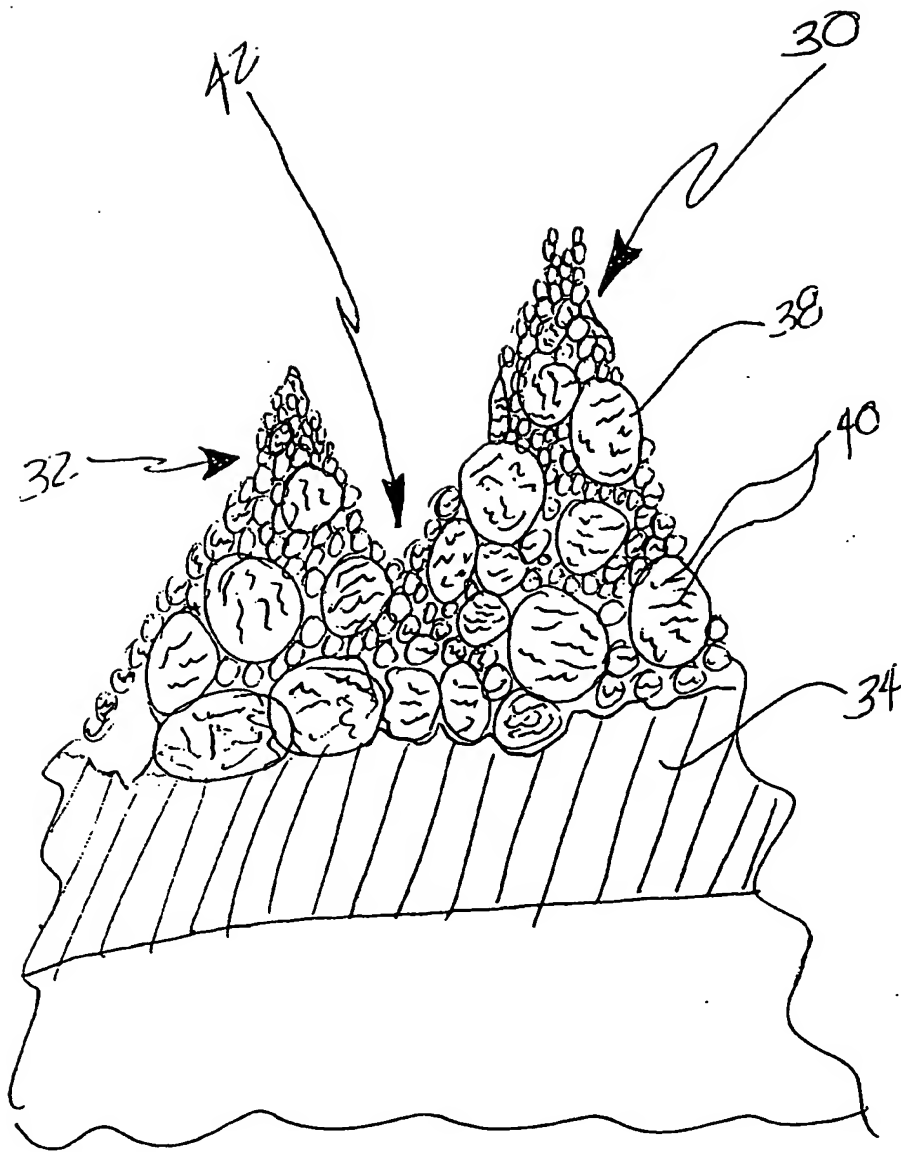


FIG 2



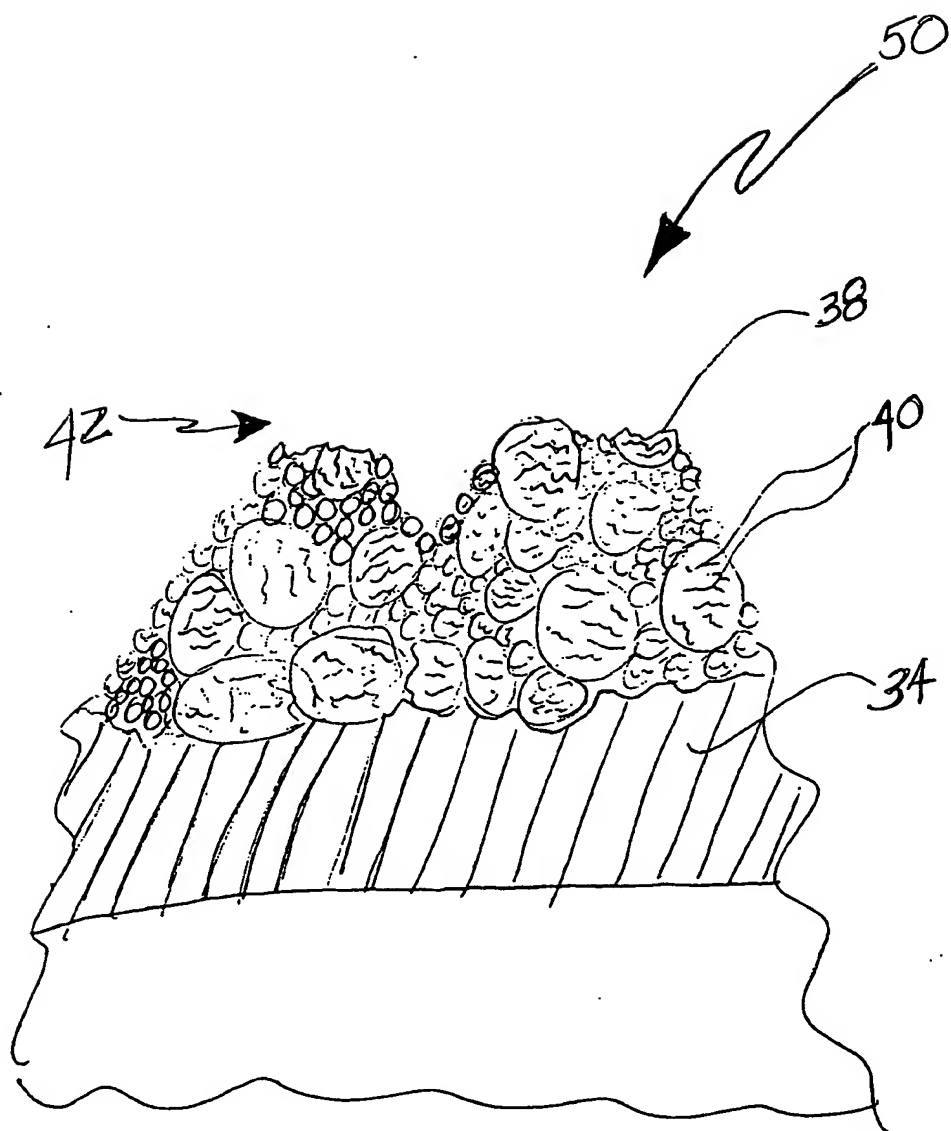


FIG 3

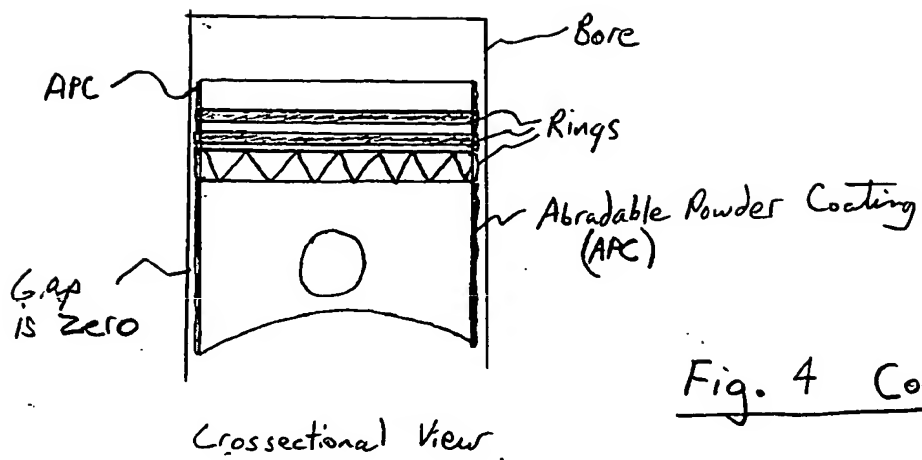


Fig. 4 Coating on Piston OD.

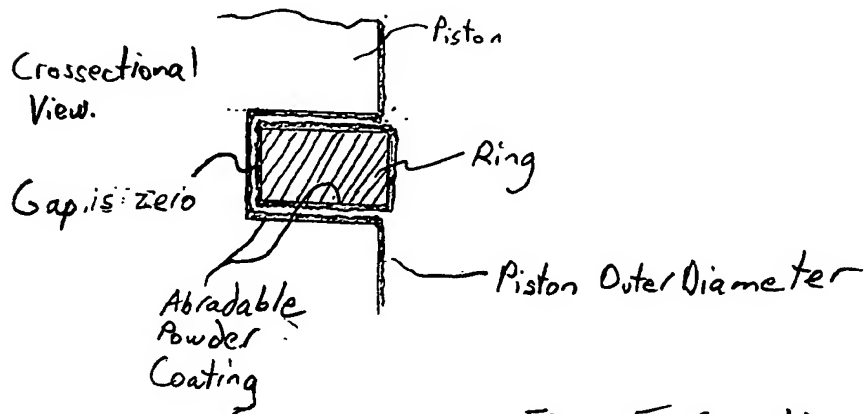


Fig. 5 Coating on Ring Lands & Rings

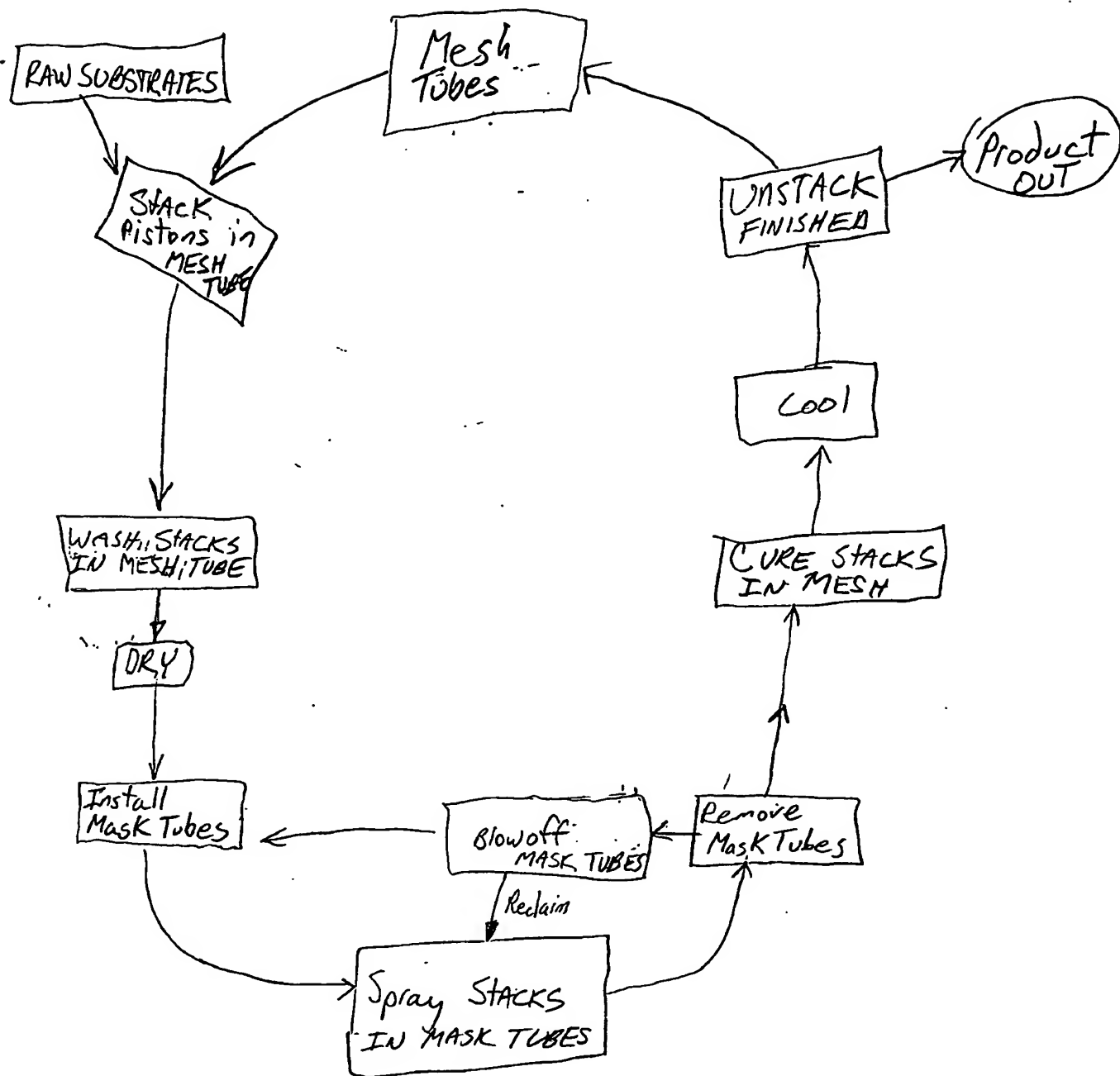


Figure 6 Process Flow

NO PAINT BAKED ON TOOLING.  $\Rightarrow$  NO WASTE,  
NO CLEAN-OFF  
Required

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